

MiFID II IMPLEMENTING AND DELEGATED ACTS

| | Legal basis - Individual Article | Type of act | List of acts |
|---|--|---------------------------|---|
| 1 | 2(4) | RTS 20 | <p>COMMISSION DELEGATED REGULATION (EU) 2017/592 of 1 December 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards for the criteria to establish when an activity is considered to be ancillary to the main business</p> <p>EN EA</p> |
| 2 | 7(4) | RTS on authorisation | <p>COMMISSION DELEGATED REGULATION (EU) 2017/1943 of 14.7.2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards on information and requirements for the authorisation of investment firms</p> <p>EN EA</p> |
| 3 | 7(5) 3 rd subpara | ITS on authorisation | <p>COMMISSION IMPLEMENTING REGULATION (EU) 2017/1945 of 19 June 2017 laying down implementing technical standards with regard to notifications by and to applicant and authorized investment firms according to Directive 2014/65/EU of the European Parliament and of the Council</p> <p>EN EA</p> |
| 4 | MiFID I, Article 10(a)(8) MiFID II, Article 12(8) | RTS on qualifying holding | <p>COMMISSION DELEGATED REGULATION (EU) 2017/1946 of 11.7.2016 supplementing Directives 2004/39/EC and 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards for an exhaustive list of information to be included by proposed acquirers in the notification of a proposed acquisition of a qualifying holding in an investment firm</p> <p>EN EA</p> |
| 5 | MiFID I, Article 10(a)(8) MiFID II, Article 12(9) | ITS on qualifying holding | <p>COMMISSION IMPLEMENTING REGULATION (EU) 2017/1944 of 13 June 2017 laying down implementing technical standards with regard to standard forms, templates and procedures for the consultation process between relevant competent authorities in relation to the notification of a proposed acquisition of a qualifying holding in an investment firm in accordance with Directives 2004/39/EC and 2014/65/EU of the European Parliament and of the Council</p> <p>EN EA</p> |

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| 6 | 17(7)(a) and (d) | RTS 6 | <p>COMMISSION DELEGATED REGULATION (EU) 2017/589 of 19 July 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards specifying the organisational requirements of investment firms engaged in algorithmic trading</p> <p>EN EA</p> |
| 7 | 18(11) | ITS 19 | <p>COMMISSION IMPLEMENTING REGULATION (EU) 2016/824 of 25 May 2016 laying down implementing technical standards with regard to the content and format of the description of the functioning of multilateral trading facilities and organised trading facilities and the notification to the European Securities and Markets Authority according to Directive 2014/65/EU of the European Parliament and of the Council on markets in financial instruments</p> <p>EN EA</p> |
| 8 | 27(10) point (a) of the 1 st subpara | RTS 27 | <p>COMMISSION DELEGATED REGULATION (EU) 2017/575 of 8 June 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council on markets in financial instruments with regard to regulatory technical standards concerning the data to be published by execution venues on the quality of execution of transactions</p> <p>EN EA</p> |
| 9 | 27(10) point (b) of the 1 st subpara | RTS 28 | <p>COMMISSION DELEGATED REGULATION (EU) 2017/576 of 8 June 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards for the annual publication by investment firms of information on the identity of execution venues and on the quality of execution</p> <p>EN EA</p> |
| 10 | 29(3) | RTS 26 | <p>COMMISSION DELEGATED REGULATION (EU) 2018/63 of 26 September 2017 amending Delegated Regulation (EU) 2017/571 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards on the authorisation, organisational requirements and the publication of transactions for data reporting services providers</p> <p>EN EA</p> |

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| 11 | 48(12)(e) | RTS 12 | <p>COMMISSION DELEGATED REGULATION (EU) 2017/570 of 26 May 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council on markets in financial instruments with regard to regulatory technical standards for the determination of a material market in terms of liquidity in relation to notifications of a temporary halt in trading</p> <p>EN EA</p> |
| 12 | 48(12)(d) | RTS 10 | <p>COMMISSION DELEGATED REGULATION (EU) 2017/573 of 6 June 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council on markets in financial instruments with regard to regulatory technical standards on requirements to ensure fair and non-discriminatory co-location services and fee structures</p> <p>EN EA</p> |
| 13 | 48(12)(b) | RTS 9 | <p>COMMISSION DELEGATED REGULATION (EU) 2017/566 of 18 May 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council on markets in financial instruments with regard to regulatory technical standards for the ratio of unexecuted orders to transactions in order to prevent disorderly trading conditions</p> <p>EN EA</p> |
| 14 | 50(2) | RTS 25 | <p>COMMISSION DELEGATED REGULATION (EU) 2017/574 of 7 June 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards for the level of accuracy of business clocks</p> <p>EN EA</p> |
| 15 | 51(6) | RTS 17 | <p>COMMISSION DELEGATED REGULATION (EU) 2017/568 of 24 May 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards for the admission of financial instruments to trading on regulated markets</p> <p>EN EA</p> |
| 16 | 58(5) | ITS 4 | <p>COMMISSION IMPLEMENTING REGULATION (EU) 2017/1093 of 20 June 2017 laying down implementing technical standards with regard to the format of position reports by investment firms and market operators</p> <p>EN EA</p> |
| 17 | 58(7) | ITS 5 | <p>COMMISSION IMPLEMENTING REGULATION (EU) 2017/953 of 6 June 2017 laying down implementing technical standards with regard to the format and the timing of position reports by investment firms and market operators of trading venues pursuant to Directive</p> |

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| | | | <p>2014/65/EU of the European Parliament and of the Council on markets in financial instruments</p> <p>EN EA</p> |
| 18 | 61(5) | ITS 3 | <p>COMMISSION IMPLEMENTING REGULATION (EU) 2017/1110 of 22 June 2017 laying down implementing technical standards with regard to the standard forms, templates and procedures for the authorisation of data reporting services providers and related notifications pursuant to Directive 2014/65/EU of the European Parliament and of the Council on markets in financial instruments</p> <p>EN EA</p> |
| 19 | 71(7) | ITS 8 | <p>COMMISSION IMPLEMENTING REGULATION (EU) 2017/1111 of 22 June 2017 laying down implementing technical standards with regard to standard forms, templates and procedures for cooperation arrangements in respect of a trading venue whose operations are of substantial importance in a host Member State</p> <p>EN EA</p> |
| 20 | 79(9) | ITS 1 | <p>COMMISSION IMPLEMENTING REGULATION (EU) 2017/988 of 6 June 2017 laying down implementing technical standards with regard to standard forms, templates and procedures for cooperation arrangements in respect of a trading venue whose operations are of substantial importance in a host Member State</p> <p>EN EA</p> |
| 21 | 80(3) | RTS on cooperation between authorities | <p>COMMISSION DELEGATED REGULATION (EU) 2017/586 of 14 July 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards for the exchange of information between competent authorities when cooperating in supervisory activities, on-the-spot verifications and investigations</p> <p>EN EA</p> |
| 22 | 84(4) | ITS 7 | <p>COMMISSION IMPLEMENTING REGULATION (EU) 2017/981 of 7 June 2017 laying down implementing technical standards with regard to standard forms, templates and procedures for the consultation of other competent authorities prior to granting an authorisation in accordance with Directive 2014/65/EU of the European Parliament and of the Council</p> <p>EN EA</p> |

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| | Multiple Articles | | |
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| 23 | 2(3), 4(1)(2), 4(2), 16(12), 23(4), 24(13), 25(8), 27(9), 28(3), 30(5), 31(4), 32(4), 33(8), 52(4), 54(4), 58(6), 64(7), 65(7), 79(8) | DA | <p>COMMISSION DELEGATED REGULATION (EU) 2017/565 of 25 April 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council as regards organisational requirements and operating conditions for investment firms and defined terms for the purposes of that Directive</p> <p>EN EA</p> <p>COMMISSION DELEGATED REGULATION (EU) 2017/2294 of 28 August 2017 amending Delegated Regulation (EU) 2017/565 as regards the specification of the definition of systematic internalisers for the purposes of Directive 2014/65/EU</p> <p>EN EA</p> |
| 24 | 16(12), 24(13) | DA | <p>COMMISSION DELEGATED DIRECTIVE (EU) 2017/593 of 7 April 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to safeguarding of financial instruments and funds belonging to clients, product governance obligations and the rules applicable to the provision or reception of fees, commissions or any monetary or non-monetary benefits</p> <p>EN EA</p> <p>Διορθωτικό 15.8.2017</p> |
| 25 | 17(7)(a), (b) and (c), 48(12)(a) and (f) | RTS 8 | <p>COMMISSION DELEGATED REGULATION (EU) 2017/578 of 13 June 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council on markets in financial instruments with regard to regulatory technical standards specifying the requirements on market making agreements and schemes</p> <p>EN EA</p> |
| 26 | 32(2), 52(2) | RTS 18 | <p>COMMISSION DELEGATED REGULATION (EU) 2017/569 of 24 May 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards for the suspension and removal of financial instruments from trading</p> <p>EN EA</p> |
| 27 | 32(3), 52(3) | ITS 2 | <p>COMMISSION IMPLEMENTING REGULATION (EU) 2017/1005 of 15 June 2017 laying down implementing technical standards with regard to the format and timing of the communications and the publication of the suspension and removal of financial instruments pursuant to Directive 2014/65/EU of the European Parliament and of the Council on markets in financial instruments</p> |

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| 28 | 34(8), 35(11) | RTS on passporting | COMMISSION DELEGATED REGULATION (EU) 2017/1018 of 29.6.2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council on markets in financial instruments with regard to regulatory technical standards specifying information to be notified by investment firms, market operators and credit institutions EN ΕΛ |
| 29 | 34(9), 35(12) | ITS on passporting | COMMISSION IMPLEMENTING REGULATION (EU) 2017/2382 of 14.12.2017 laying down implementing technical standards with regard to standard forms, templates and procedures for the transmission of information in accordance with Directive 2014/65/EU of the European Parliament and of the Council EN ΕΛ |
| 30 | 48(12)(a), (c) and (g) | RTS 7 | COMMISSION DELEGATED REGULATION (EU) 2017/584 of 14 July 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards specifying organisational requirements of trading venues EN ΕΛ |
| 31 | 49(3) and 49(4) | RTS 11 | COMMISSION DELEGATED REGULATION (EU) 2017/588 of 14 July 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards on the tick size regime for shares, depositary receipts and exchange traded funds EN ΕΛ |
| 32 | 57(3) and 57(12) | RTS 21 | COMMISSION DELEGATED REGULATION (EU) 2017/591 of 1 December 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards for the application of position limits to commodity derivatives EN ΕΛ Διορθωτικό στον Κανονισμό (ΕΕ) 2017/591 |

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| 33 | 61(4), 64(6) and 64(8), 65(6) and 65(8), 66(5) | RTS 13 | <p>COMMISSION DELEGATED REGULATION (EU) 2017/571 of 2 June 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards on the authorization, organisational requirements and the publication of transactions for data reporting services providers</p> <p>EN EA</p> <p>COMMISSION DELEGATED REGULATION (EU) 2018/63 of 26 September 2017 amending Delegated Regulation (EU) 2017/571 supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards on the authorisation, organisational requirements and the publication of transactions for data reporting services providers</p> <p>EN EA</p> |
| 34 | 80(4) and 81(4) | ITS 6 | <p>COMMISSION IMPLEMENTING REGULATION (EU) 2017/980 of 7 June 2017 laying down implementing technical standards with regard to standard forms, templates and procedures for cooperation in supervisory activities, for on-site verifications, and investigations and exchange of information between competent authorities in accordance with Directive 2014/65/EU of the European Parliament and of the Council</p> <p>EN EA</p> |

Σημείωση:

DA = Delegated Act

ITS = Implementing Technical Standard

RTS = Regulatory Technical Standard